



## CERTIFICATE OF COMPLIANCE

In accordance with the requirements of Union Home Mortgage's Third Party Processing Fees Policy (hereinafter "Policy") and Paragraph 7 of the Addendum to Wholesale Broker Agreement dated \_\_\_\_\_, I HEREBY CERTIFY AS FOLLOWS:

1. I have reviewed all loans that \_\_\_\_\_ (Broker name) has submitted to Union Home Mortgage during the time period \_\_\_\_\_ (start date) to \_\_\_\_\_ (end date) wherein \_\_\_\_\_ (Broker name) has utilized either a Third Party Processing Company and/or Third Party Processor and as such have personal knowledge of the facts I am about to relate.
2. All loans are in full compliance with Union Home Mortgage's Policy.
3. There are no violations of Union Home Mortgage's Policy and no refunds of Third Party Processing fees are due and owing to Union Home Mortgage.
4. I have personally read and comprehend the most recent version of the Policy prior to executing this Certification.
5. I am aware that Union Home Mortgage will rely upon the truth, accuracy and contents of this Certificate as a material condition of their continued approval of \_\_\_\_\_ (Broker name) to transact business and/or their renewal thereof.
6. I am authorized to execute this Certificate on behalf of \_\_\_\_\_ (Broker name) as the owner/control person.

BROKER: \_\_\_\_\_

BY: \_\_\_\_\_ DATED: \_\_\_\_\_  
(signature)

NAME: \_\_\_\_\_ TITLE: \_\_\_\_\_  
(print)